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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Applicant: A. Weiss

Serial No.: 10/053,169

Filed: November 2, 2001

For: METHODS AND SYSTEMS FOR  
PRODUCING A 3-D ROTATIONAL  
IMAGE FROM A 2-D IMAGE

Case No.: 30014200-1011

Group Art Unit: unknown

Examiner: unknown

Date: February 5, 2002

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### TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Submission of Certified Copy of Priority Document of A. Weiss in the above-identified patent application entitled METHODS AND SYSTEMS FOR PRODUCING A 3-D ROTATIONAL IMAGE FROM A 2-D IMAGE.

Also enclosed are: Certified Copy of European App. No. 00123861.7  
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The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

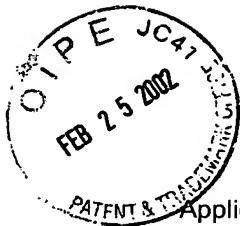
Respectfully submitted,

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PATENT

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*Jo Ellen Hogan* 2/5/02  
Jo Ellen Hogan Date

**SUBMISSION OF CERTIFIED COPY OF PRIORITY DOCUMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant herewith submits the certified copy of European Patent Application No. 00123861.7, filed November 2, 2000.

The Commissioner is authorized to charge any fees which may be due or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

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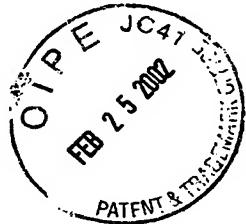
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Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

00123861.7

Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
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I.L.C. HATTEN-HECKMAN

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**Blatt 2 der Bescheinigung**  
**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

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Application no.:  
Demande n°:

Anmeldetag:  
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Date of filing:  
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Anmelder:  
Applicant(s):  
Demandeur(s):  
**SUN MICROSYSTEMS, INC.**  
**Palo Alto, California 94303**  
**UNITED STATES OF AMERICA**

Bezeichnung der Erfindung:  
Title of the invention:  
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**FOR TITLE SEE PAGE ONE OF DESCRIPTION**

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A METHOD AND APPARATUS FOR  
PRODUCING A 3-D ROTATIONAL IMAGE FROM A 2-D IMAGE

5

BACKGROUND OF THE INVENTION

Field of the Invention

The invention relates generally to computer generated three-dimensional  
10 images, and in particular to a method and an apparatus for producing a three-dimensional image from a two-dimensional image by rotating the two-dimensional image.

15 Description of Related Art

As used herein, the term image generally refers to two-dimensional arrangements of objects from any desired sources and of any desired content, that is in particular photographic or synthetic images and also two-dimensional areas, which represent distributions of measured values or other variables. Also, herein  
20 two-dimensional images contain objects defined by polygons (outline edges) and by patterns, color and/or shading of the areas. The objects may partially overlap such that the objects are only partly visible to the viewer.

Computer-based methods for converting a two-dimensional image to a  
25 three-dimensional image are known. For example, such a method is used in image processing systems.

One method of generating a three-dimensional image uses rotation of the two-dimensional image around a rotation axis. This works quite well for single  
30 objects as well as for multiple objects which are overlapping as long as the rotation angle is 360°. If the rotation angle does not equal 360° the known method comes along with a loss of information regarding the two-dimensional overlapping objects.

Hence, a better method is needed for generating a three-dimensional image from a two-dimensional image by rotation.

5

### SUMMARY OF THE INVENTION

According to an embodiment of this invention, a method for producing a three-dimensional representation from a two-dimensional image by rotation allows a better representation of the spatial depth (the distance from the viewer) of objects 10 than the prior art methods when rotating said two-dimensional images into a three-dimensional object. A two-dimensional image contains a number of objects, which – if they overlap with each other – are represented in layers from front to rear, perpendicularly with respect to the two-dimensional image plane. Each object is defined by a polygon which encloses a distinguishably represented area of the two- 15 dimensional image.

The method of this embodiment orders polygons in a plurality of polygons of a two-dimensional image in a sequence defined by layering of the polygons in the two-dimensional image. A first polygon in the sequence is a 20 reference polygon.

The method of this embodiment of the invention sequentially assigns each polygon in the sequence, starting with the reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer 25 neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the given layer. After the assignment to the layer, the method rotates the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational 30 angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal said maximum rotational angle.

The method according to this embodiment is associated with a series of advantages: the polygons are ordered in layers according to their depth by using the depth information implicitly present in the two-dimensional image. A polygon 5 handled later is assigned to the layer of the polygon previously considered if there is no overlap but is assigned to a new layer if there is an overlap with the polygon previously considered, or if there is an inclusion. This has the effect of producing a better impression of the depth in the three-dimensional rotational image while at the same time minimizing the layers required. It creates advantages with regard to the 10 running time for the method, since the complexity of the method merely increases linearly with the number of objects. Moreover, a representation with a natural look is achieved. The provision of values for the minimum rotational angle and the maximum rotational angle of the three-dimensional image serves to ensure a good visual impression for the viewer.

15

By rotating the two-dimensional objects of the layers which are located more in front (closer to the viewer) by a larger rotational angle, the rotational representation maintains the depth information which is contained in the two-dimensional image in the form of overlaps between the two-dimensional objects. 20 Moreover, the depth information is not only maintained, it is even visualized more clearly and it gives the user the possibility to recognize even in the rotational image which object has been located more behind or more in front before the rotational image was generated.

25

In one embodiment, the invention includes a system having a processor and a memory storing instructions to perform a method for producing a three-dimensional image from a two-dimensional image including objects represented by a plurality of polygons, the method comprising:

30 ordering polygons in the plurality of polygons in a sequence defined by layering of the polygons in the two-dimensional image wherein a first polygon in the sequence is a reference polygon;

sequentially assigning each polygon in the sequence, starting with the reference polygon, to one of a plurality of layers so that within a given layer no polygon assigned to the given layer (i) overlaps with another polygon in the given layer, and (ii) is included within another polygon in the given layer; and

5                   rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal  
10                said maximum rotational angle.

The system can be, for example, a client-server system, or alternatively, the processor and the memory are in a first device, and a display unit is a part of a second device where the second device is different from the first device, and further  
15                the display unit displays the three-dimensional representation.

According to an embodiment of this invention, there is provided a memory storing instructions to perform a method for producing a three-dimensional image from a two-dimensional image including objects represented by a plurality of  
20                polygons stored therein, said method comprising:

                  ordering polygons in the plurality of polygons in a sequence defined by layering of the polygons in the two-dimensional image wherein a first polygon in the sequence is a reference polygon;

25                sequentially assigning each polygon in the sequence, starting with the reference polygon, to one of a plurality of layers so that within a given layer no polygon assigned to the given layer (i) overlaps with another polygon in the given layer, and (ii) is included within another polygon in the given layer; and

                  rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around  
30                said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a

rotational angle greater than said minimum rotational angle and less than or equal said maximum rotational angle.

In one embodiment, the method is, transferred from the memory to  
5 another memory. In one case, this transfer is a download over a communications network.

In still another embodiment, a computer system includes:

means for ordering polygons in the plurality of polygons in a sequence  
10 defined by layering of the polygons in the two-dimensional image wherein a first polygon in the sequence is a reference polygon;

means for sequentially assigning each polygon in the sequence, starting with the reference polygon, to one of a plurality of layers so that within a given layer no polygon assigned to the given layer (i) overlaps with another polygon in the  
15 given layer, and (ii) is included within another polygon in the given layer; and

means for rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference  
20 layer having a rotational angle greater than said minimum rotational angle and less than or equal to said maximum rotational angle.

#### BRIEF DESCRIPTION OF THE DRAWINGS

25

Figure 1A shows an example of a front view of a two-dimensional image comprising a plurality of polygons with a reference plane to define a reference polygon according to an embodiment of this invention.

30

Figure 1B shows a three-dimensional rotational representation of the two-dimensional image of Figure 1A according to one embodiment of this invention.

Figure 1C shows a representation of the elements of the two-dimensional image of Figure 1A as ordered in layers according to their depth.

Figure 1D shows a top view of the three-dimensional image of Figure 1B.

5

Fig. 1E shows a top view of a three-dimensional image according to an embodiment of this invention.

10 Fig. 1F shows a top view of a three-dimensional image according to an embodiment of this invention.

Figure 2 is a process flow diagram for one embodiment of this invention.

15 Figures 3A to 3E show an ordered sequence of the polygons of Figure 1A and an assignment of the polygons to layers according to the method of Figure 2.

Figure 4A is a high level block diagram of a system that includes the method of Figure 2.

20

Figure 4B is a high-level block diagram where the computer system of Figure 4A is distributed among a plurality of devices that are interconnected via a network.

25

Figure 4C is a high-level block diagram where the computer system of Figure 4A is distributed among a plurality of devices, and the method of this invention is transferred from a memory in a first device to a memory in a second device.

30

Herein, elements with the same reference numeral are the same element.

### DETAILED DESCRIPTION

In connection with the accompanying drawings embodiments of the invention will now be described. Based on a two-dimensional image as illustrated in Fig. 1A depth information is obtained to assign the elements of the two-dimensional image to individual layers as shown in Fig. 1C in a perspective view and in Fig. 1D in a top view. A rotational image as shown in Fig. 1B or Fig. 1C is generated using a method illustrated in Fig. 2, with details of the method being explained in connection with Fig. 3A to 3D. Examples of the rotational image generated by alternative embodiments are shown in Fig. 1E and Fig. 1F.

According to an embodiment of this invention, information in a two-dimensional image 100 (Fig. 1A), i.e., the depth information as represented by the overlap of objects 101 to 104 that make up two-dimensional image 100, is used to generate a rotational three-dimensional representation 150 of two-dimensional image 100, which is referred to herein as a three-dimensional image 150 (Fig. 1B). In particular, the layering of objects 101 to 104 in two-dimensional image 100 is used to assign each two-dimensional object to one of a plurality of layers.

Each two-dimensional object in a given layer in the plurality of layers is rotated around a defined rotational angle, so that all two-dimensional objects assigned to the same layer are rotated around the same rotational angle. The rotational angle of a particular layer, as explained more completely below, is determined by the relative location of the layer in the three-dimensional representation to the location of the other layers in the plurality of layers, and is selected to provide a rotational image which maintains and visually represents the depth information of the two-dimensional image. Moreover, parts of polygons, which are obscured in the two-dimensional image, are also obscured in the three-dimensional rotational representation.

30

In one embodiment, the three-dimensional objects produced on the basis of the two-dimensional objects lying further back, as defined by the layering, are

rotated by a smaller rotational angle, while on the other hand those lying further forward are correspondingly rotated by a larger angle. Hence, according to this embodiment, the inherent depth sorting present in two-dimensional image 100 is used to generate a three-dimensional rotational representation of the depth 5 information.

While simple two-dimensional polygons are used to define the two-dimensional objects in Figures 1A, the invention is not limited to such definitions. The two-dimensional objects can be defined based upon patterns, color and/or 10 shading of areas or based on the texture of areas in the two-dimensional image.

In general, each object in a two-dimensional image is defined by a polygon, which encloses a distinguishably represented area of the object. The representation of objects in a two-dimensional image by polygons is well known and 15 so is not considered further. Moreover, the use of a specific set of polygonal objects to demonstrate the principles of this invention is illustrative only, and is not intended to limit the invention to the specific set used.

Method 200 (Figure 2) of this invention includes a depth sorting 20 operation 201. In depth sorting operation 201, polygons in the two-dimensional image are ordered according to the sequence of their layering in the two-dimensional image with respect to a reference polygon, which in the embodiment of Figure 1A is defined by a reference plane. Hence, depth sorting operation 201 generates a sequence of polygons defined by layering of polygons in the 25 two-dimensional image where a first polygon in the sequence is the reference polygon. Upon completion, depth sorting operation 201 transfers processing to layer assignment operation 202.

Layer assignment operation 202 sequentially assigns each polygon in 30 the sequence, starting with the reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the

given layer. Upon completion, layer assignment operation transfers processing to rotate polygons operation 203.

5        Rotate polygons operation 203 rotates the polygons of each layer to form a three-dimensional representation having a maximum rotational angle with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than the reference layer having a rotational angle greater than the minimum rotational angle and less than or equal to the maximum rotational angle.

10       Fig. 1B visualizes the result of such a rotation operation in a perspective view, Fig. 1D shows the same result in a top view. Object 101' in the rotational image ( Fig. 1B and Fig. 1D) corresponds to object 101 in the two-dimensional image, similarly object 102' corresponds to object 102, object 103' corresponds to object 103 and object 104' corresponds to object 104. From those figures it 15 becomes clear that the method provides a three-dimensional rotational representation which maintains the depth information of the two-dimensional image. Those objects which in the two-dimensional representation are located more in front (closer to the viewer) are rotated by a larger rotational angle and therefore are "sticking out" in the rotational image.

20       Method 200 is considered in further detail using image 100, as example. In particular, depth sorting operation 201 of this invention is demonstrated with respect to Figure 1A, which is an example of a two-dimensional image 100 including four polygons 101, 102, 103, and 104. Polygon 102 overlaps polygons 101 and 103, while polygon 104 overlaps polygon 103, and actually is 25 included within the boundary of polygon 103. (Reference plane 110 is not a part of two-dimensional image 100) This overlapping is implicit in Figure 1A.

30       For purposes of illustration only, Figure 1C is presented, which is a representation of the depth layering of objects 101 to 104 as presented in Figure 1A. Figure 1C shows that object 101 is deepest, e.g., furthest removed from the front surface as viewed in Figure 1A, followed by objects 103, 102, and 104

respectively. (This information, i.e., the actual relative depth, is not required, but is shown here for exemplary purposes.)

In this embodiment, in depth sorting operation 201, polygons in two-dimensional image 100 are ordered according to the sequence of their layering in two-dimensional image 100 with respect to a reference plane 110 that defines the reference polygon. In the example of Figures 1A and 1C, reference plane 110 is at the rear of image 100. Accordingly, the rearmost polygon 101 in two-dimensional image 100 is assigned first place in the order, i.e., is the reference polygon, 5 polygon 103 second place, polygon 102 third place, and front most polygon 104 in two-dimensional image 100 is assigned last place in the order. Thus, depth sorting operation 201 generates an ordered list 300 of polygons in image 100, i.e., an ordered sequence of polygons. It is thereby preferable to generate the ordered list 10 in a manner that if possible such a polygon is ordered as next to a current polygon 15 in a sequence for which between the current polygon and the next polygon in sequence there is no overlap. If no such non-overlapping polygon is available, then the one of the polygons overlapping with the current one is chosen which is located rearmost (based on the overlap information with other polygons). If more than one such rearmost polygons are available (e.g. if two or more groups of polygons are 20 present, each of the groups having a rearmost polygon, but none of the polygons of one group overlaps with a polygon of the other group), then arbitrarily one of the rearmost polygons may be chosen as next polygon. Automatically then the another rearmost polygon of another group will be chosen to be ordered next, since per definition it does not overlap with the one selected before.

25

By such an ordering the number of necessary layers which have to be assigned (as will be described later in more detail) can be minimized. The resulting three-dimensional image will then only contain the least number of different rotational angles necessary to provide a good-looking rotational representation of 30 the two-dimensional image.

In Figure 3A, the reference numerals for the polygons are illustrated in ordered list 300. This is illustrative only as is the use of an ordered list. Methods for storing and/or representing ordered data in a sequence are well known, as are methods for representing polygons in a computer memory, and determining 5 whether polygons in a two-dimensional image overlap. The particular methods used to represent the ordered data and the two-dimensional polygons are not essential to this invention.

The important aspect in depth sorting operation 201 is to select one 10 polygon to define an initial reference and then ordering the other polygons with respect to that reference polygon based upon the overlap. In this example, reference plane 100 is simply one way to define the initial reference for the depth layering that aids in the visualization of the invention. Depth layering operation 201 transfers processing to layer assignment operation 202. Depending on the 15 preferences depth layering may be carried out as outlined before in order to minimize the number of layers necessary in layer assignment operation 202 which is described later.

Prior to considering the application of operation 202 to image 100, 20 operations within layer assignment operation 202 are considered in general. Define first layer operation 210 in operation 202 defines a first layer in the three-dimensional image and assigns the first polygon in the ordered list, i.e., ordered sequence, of polygons to the first layer, i.e., to a reference layer. A current polygon pointer is advanced to the second polygon in the ordered list of polygons. Also, a 25 current layer pointer is set to the first layer. As used herein, the current layer refers to the layer addressed by the current layer pointer. Define first layer operation 210 transfers processing to all polygons processed check operation 211.

All polygons processed check operation 211 determines whether all the 30 polygons in the ordered list have been processed in layer assignment operation 202. If one or more polygons remain to be processed, check

operation 211 transfers in current layer check operation 212 and otherwise to rotate polygons operation 203.

5 Current layer check operation 212 determines whether the current polygon, i.e., the polygon in the ordered list of polygons addressed by the current polygon pointer, overlaps any polygons in the two-dimensional image that are assigned to the current layer. As used herein, overlap can be either a partial overlap, or a total overlap where one polygon lies completely in front of another polygon. However, to remove any ambiguity, a complete enclosing of a polygon,  
10 called an inclusion, is considered.

15 If there is no overlap or inclusion in the two-dimensional image between the current polygon and any polygons in the two-dimensional image that are assigned to the current layer, check operation 212 transfers to assign operation 214 and otherwise to define new layer operation 213.

20 Hence, upon entry to define new layer operation 213, check operation 212 determined that the current polygon overlaps a polygon in the current layer. Consequently, define new layer operation 213 generates a new layer, and changes the current layer pointer to address the new layer so that the new layer becomes the current layer. Operation 213 also transfers to assign operation 214.

25 Assign operation 214 assigns the current polygon to the current layer, and advances the current polygon pointer to the next polygon in the ordered list of polygons. Assign operation 214 transfers to all polygons processed check operation 211.

Operations 211, 212, 213 and 214 are repeated until all polygons in the ordered list of polygons are assigned to a layer and so layer assignment  
30 operation 202 is complete. Layer assignment operation 202 transfers processing to rotate polygons operation 203 in which the polygons are rotated and a resulting three-dimensional rotational image is displayed on a display unit of a device. As

explained more completely below, the display unit can be a part of the same device that executes method 200, or alternatively, the display unit can be part of a device that is different from the device that executes method 200.

5        The layer assignment operation 202 of Fig. 2 will now be explained in more detail in connection with Figures 3A to 3E using the two-dimensional image of Fig. 1A as an example and referring also to the steps of layer assignment operation 202 shown in Fig. 2. Layer assignment operation 202 of Fig. 2 receives an ordered list 300 of polygons from depth sort operation 201 of Fig. 2 and then based on the  
10      ordered list 300, the generation of which has been described in detail before, starts to assign layers to the polygons in ordered list 300. Layer assignment operation 202 sequentially assigns layers to the polygons in ordered list 300. The assigning of the layers to the polygons in ordered list 300 is sequentially performed for individual polygons one after another, where a polygon for which an assignment is currently to  
15      be performed is addressed by a current polygon pointer 301 as shown in Figures 3A to 3E.

20        The ordered list 300 resulting from depth sort operation 201 of Fig. 2 is shown in Fig. 3A. A current polygon pointer 301 addresses a first polygon in ordered list as shown in Fig. 3A. Define first layer operation 210 of Fig. 2 assigns polygon 101, which is stored in a location in ordered list 300 that is addressed by current polygon pointer 301, to first layer 310. This operation is illustrated in Figure 3B as storing reference numeral 101 in a location in memory for first layer 310 which is addressed by current layer pointer 311. Current layer pointer 311  
25      is a pointer which addresses a memory location corresponding to a certain layer. Operation 210 advances current polygon pointer 301 to address the next location in ordered list 300, which in this example is location two that stores polygon reference numeral 103. Operation 210 transfers to operation 211.

30        In operation 211, current polygon pointer 301 identifies a valid polygon, which means that there still is a polygon in ordered list 300 which needs to be assigned to a layer. Therefore operation 211 transfers to current layer check

operation 212 to for an overlap or an inclusion. Methods for determining whether one polygon overlaps or is included within another are known to those of skill in the art, and the particular method for determining overlap and/or inclusion is not essential to this invention. As shown in Figure 1A, polygon 103 does not overlap 5 polygon 101, which is the only polygon assigned to first layer 310 at this time. Therefore, polygon 103 is considered to be located in the first layer 310, and processing transfers to assign operation 214.

Assign operation 214 assigns polygon 103 to layer 310, which is 10 addressed by current layer pointer 311 (Fig. 3C). Assign operation 214 advances current polygon pointer 301 to address the next location in ordered list 300, which in this example is location three that stores polygon reference numeral 102. Operation 214 transfers to operation 211.

15 In operation 211, current polygon pointer 301 identifies a valid polygon 102 which needs to be assigned to a layer and so operation 211 transfers to object 102 in current layer check operation 212. As shown in Figure 1A, polygon 102 overlaps polygons 101 and 103, which are assigned to first layer 310. Therefore, polygon 103 is not in first layer 310, and processing transfers to define 20 new layer operation 213.

Define new layer operation 213 generates a new layer 312, and changes current layer pointer 311 to address new layer 312 so that layer 312 becomes the current layer. Operation 213 also transfers to assign operation 214.

25 Assign operation 214 assigns polygon 103 to layer 312, which is addressed by current layer pointer 311 (Fig. 3D). Assign operation 214 advances current polygon pointer 301 to address the next location in ordered list 300, which in this example is location four that stores polygon reference numeral 104. 30 Operation 214 transfers to operation 211.

In operation 211, current polygon pointer 301 identifies a valid polygon 104 which needs to be assigned to a layer and so operation 211 transfers to object 104 in current layer check operation 212. As shown in Figure 1A, polygon 104 does not overlap polygons 102 which is assigned to current second 5 layer 312. Therefore, polygon 104 is located in second layer 312 , and processing transfers to assign operation 214.

Assign operation 214 assigns polygon 104 to layer 312, which is addressed by current layer pointer 311 (Fig. 3E). Assign operation 214 advances 10 current polygon pointer 301 to address the next location in ordered list 300, which in this example is location five that does not store a polygon reference numeral. Operation 214 transfers to operation 211. In operation 211, current polygon pointer 301 does not identify a valid polygon because there is no remaining polygon which needs to be assigned to a layer and so operation 211 transfers to rotate 15 polygons operation 203.

Hence, up to this point, method 200 according to this embodiment is associated with a series of advantages: the polygons are ordered in layers according to their depth by taking over of the depth information implicitly present in 20 the two-dimensional image. A polygon handled later is assigned to the layer of the polygon previously considered if there is no overlap but is assigned to a new layer if there is an overlap or an inclusion with the polygon previously considered. This has the effect of producing a better impression of the depth in the three-dimensional rotational image while at the same time minimizing the layers required. It creates 25 advantages with regard to the running time for the method, since the complexity of the method merely increases linearly with the number of objects. Moreover, a representation with a natural look is achieved.

In rotate polygons operation 203, the polygons of each layer are rotated 30 to form a three-dimensional rotational representation in such a way that each polygon is given a rotational angle which is between a minimum rotational angle (Tmin) and a maximum rotational angle (Tmax) according to their layering. In the

embodiment described before the polygons which are assigned to a reference layer are rotated by rotational angle  $T_{min}$ , and the polygons which are assigned to layers other than the reference layer are additionally rotated by variable rotational angle  $T_{var}$  up to a maximum variable rotational angle ( $T_{var\ max\_n}$ ). Corresponding to the 5 ordering of the layers in depth  $T_{var}$  increases from zero (for the polygons in the reference layer) up to  $T_{var\ max\_n}$  for the polygons of the layer which is most distant from the reference layer. The value of  $T_{var}$  for the polygons assigned to a certain layer therefore corresponds to and reflects the (depth) location of this layer within the whole set of layers.

10

It should be noted that this correspondence between the position of a layer within the ordered set of layers not necessarily corresponds to the time at which the layer has been generated, although this is the case for the embodiment described before. However, it may well be possible that there is a predefined 15 reservoir of layers to which the polygons then are assigned such that no overlap or inclusion exists for the polygons assigned to a single layer. In such a case define layer operation 213 of Fig. 2 instead of actually generating a new layer would just select a new layer for assigning the current polygon to it. It should be noted here that independent of the time or the way the layers are generated, which may vary 20 from embodiment to embodiment as becomes clear from the foregoing, the polygons which have been ordered sequentially by depth sort operation 201 are then assigned by layer assignment operation 201 to one or more layers such that a layer does not contain polygons which overlap or have an inclusion. According to the ordering of the layers then the polygons of the individual layers are rotated by a 25 rotational angle between  $T_{min}$  and  $T_{max}$ .

The maximum rotational angle ( $T_{max}$ ) is divided in a predetermined ratio between the minimum rotational angle ( $T_{min}$ ) and the maximum variable rotational angle ( $T_{var\ max\_n}$ ) for the polygons of the layer located most distantly from the 30 reference layer in terms of 1st depth order.

Hence, a maximum rotational angle  $T_{max}$  for the overall three-dimensional image is defined. Maximum rotational angle  $T_{max}$  is divided in a certain ratio between a minimum rotational angle  $T_{min}$  and a maximum variable rotational angle  $T_{var\_max\_n}$ , described more completely below, where  $T_{max} = 5 T_{min} + T_{var\_max\_n}$ . Specifically, a rotational thickness ratio  $T_{thick}$  is defined as:

$$T_{thick} = T_{min} / T_{var\_max\_n}.$$

Values of rotational thickness ratio  $T_{thick}$  in the range of 80 to 20 have 10 been found to be particularly favorable while alternatively values in the range of 40 to 60 or values in the range of 5 to 95 may also be used. In one embodiment, the user selects the desired ratio. The ratio represents a compromise between a minimum variable content to avoid false representations in conjunction with z-buffer methods and a maximum variable content to avoid reducing the overall rotational 15 angle excessively. Z-buffer methods are common in image processing, they basically use the assignment of a z-value coding for the depth location of a pixel (the location in z-direction) to each individual pixel of a two-dimensional image. Depth impression such as the covering of an object located more rearwards by an object located more in front can be generated using such z-buffer methods by 20 judging based on the z-buffer values which object is located more rearwards and which one is located more in front. Since this usually involves a limited accuracy (z-buffers usually are hardware supported and therefore have only integer accuracy) it may happen that two-dimensional objects due to rounding errors or due to other problems resulting from the limited accuracy of z-buffer methods may have the 25 same z-buffer values, thereby making a depth judgement impossible. In such cases there arises an undefined state since it cannot be determined which object should be displayed in front and which one behind, thereby leading to a false representation. This problem becomes less severe if  $T_{var\_max\_n}$  increases (which means if  $T_{thick}$  decreases) since then there is a larger difference in rotational angle 30 between the polygons of different layers, thereby avoiding the likelihood of false representations arising from the limited accuracy of z-buffer methods.

On the other hand, a too large value of  $Tvar\_max\_n$  (and consequently a too small value of  $Tthick$ ) may lead to a three-dimensional rotational image in which the depth representation is too excessive, which may give an undesirable or unfavorable visual impression..

5

Hence, if the three-dimensional image includes four layers, a first layer has rotational angle  $Tmin$ , a fourth layer has rotational angle  $Tmax$ , a second layer has a rotational angle  $T2$ , and a third layer has a rotational angle  $T3$ , where the rotational angles are such that:

10

$$Tmin < T2 < T3 < Tmax,$$

where

15

$$\begin{aligned} T2 &= (Tmin + Tvar\_2), \\ T3 &= (T2 + Tvar\_3), \\ Tmax &= (T3 + Tvar\_4) = Tmin + Tvar\_max\_n. \end{aligned}$$

When multiple layers are rotated in operation 203, it is also visually 20 advantageous for the viewer to allow variable rotational angle  $Tvar$  to increase in each case linearly or logarithmically with the sequence of the formation of the layers. This may increase the impression of the layering in the rotational body representation.

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Returning to two-dimensional image 100 of Fig. 1A, there are only two layers and so polygons 100 and 103 in first layer 310, which is chosen as the reference layer, are given a rotational angle  $Tmin$ , while polygons 102 and 104 in second layer have a rotational angle of  $(Tmin + Tvar\_2)$  which is in this case is also  $(Tmin + Tvar\_max\_n)$ . See Figure 1B. Figure 1B is the three-dimensional image 30 generated as a result of rotate polygons operation 203. Figure 1D is a top view of the three-dimensional rotational image of Figure 1B that shows the various rotational angle dimensions more clearly.

One advantage of this embodiment is that the number of layers in the three-dimensional rotational representation is as small as possible. If the method of this invention is utilized but the inherent depth information in the two-dimensional image is not utilized, four layers are necessary if each polygon in Figure 1A is assigned to a separate layer. Since, however, polygons 102 and 104 do not overlap one another, polygons 102 and 104 can be assigned to the same layer without impairing the impression of depth for the viewer in the rotational image. The method of this invention thus accumulates the two-dimensional depth sorting with the "real" two-dimensional overlapping of the individual polygons.

The result of an application of a method according to this embodiment to a group of objects as shown in Fig. 1A is illustrated in Fig. 1B. The polygons 101 and 103 in the rearmost layer are rotated by an angle  $T_{min}$  to form rotational bodies 101' and 103', respectively, and the polygons 102 and 104 of the layer located more in front are rotated along an additional angle  $T_{var}$  so that they "stick out" in the three-dimensional rotational representation as objects 102' and 104', respectively, and thereby give the user an impression of the layering of the original image even after the rotational body has been generated.

20

Fig. 1D shows a top view of the resulting rotational image. In the embodiment illustrated in Figs. 1B and 1D the additional rotational angle  $T_{var}$  around which the polygons located more in front are rotated is distributed symmetrically between the left-hand and the right-hand front area of the rotational body, as can be seen clearly from Fig. 1D. Thereby each of the front planes of the resulting open rotational body preserves the impression of the depth information as contained in the original two-dimensional image.

It is readily apparent that such a result as shown in Figs 1B and 1D can be generated by rotating the initial two-dimensional image around a rotational axis by  $T_{min}/2$  clockwise and additionally by  $T_{min}/2$  counterclockwise, and furthermore by rotating the layers located more close to the user by  $(T_{min}+T_{var})/2$  clockwise

and by  $(T_{min}+T_{var})/2$  counterclockwise, starting from the reference layer. This results in a symmetrical rotational image as shown in Figs. 1B and 1D, if the resulting rotational body as a whole is then rotated such that the open front planes are looked upon by the user as in Fig. 1B.

5

It is readily apparent to the skilled person that the method of this embodiment is particularly advantageous for rotational angles  $T_{min}$  other than  $360^\circ$  and for angles  $T_{var}$  other than zero. Imagine  $T_{min}$  to be  $360^\circ$  and  $T_{max}=T_{min}$ , then the method would be identical to the classical method of generating a 10 rotational body. If, however, a rotational angle  $T_{min}$  other than  $360^\circ$  is selected, and if further  $T_{max}$  is not equal to  $T_{min}$  (in other words:  $T_{var}$  does not equal zero), then the method of this embodiment provides an advantageous rotational representation which preserves the depth impression of the two-dimensional image.

15

In view of this disclosure, various alternative embodiments are possible. For example, the user may be provided with an interface which allows him to input several additional parameters with respect how to generate the rotational representation. This may e.g. include (in addition to parameters such as  $T_{min}$  and  $T_{max}$  or the rotational thickness  $T_{thick}$ ) the location of the rotational axis. Also, a 20 user may choose a scaling parameter which defines a ratio by which an area of a polygon is to be increased or decreased when rotating the polygon. This is exemplarily illustrated in Fig. 1E. Fig. 1E shows a top view of a rotational image resulting from rotating a polygon 300 by a rotational angle larger than  $360^\circ$  while the area of the polygon continually increases together with an increasing rotational angle. The surface 310 of the rotated polygon has an area larger than the initial polygon 300 as defined by a scaling factor. Using such a scaling factor leads to constellations where even for rotational angles larger than  $360^\circ$  open planes are viewable to the user, and in such cases it is then advantageous to have the polygons which in the initial two-dimensional representation are located more in 25 front rotated by an additional angle  $T_{var}$ .

Furthermore, preferably the resulting rotational image as a whole can be rotated as desired by the user around any rotational axis.

In a further embodiment, different sets of rotation angles (Tmin, Tmax) 5 for clockwise rotation and for counterclockwise rotation may be chosen, the resulting rotational image being the concatenation of the images which respectively result from the clockwise and the counterclockwise rotation of the initial two-dimensional image based on the inputted parameters. This then of course results in an image not being symmetrical with respect to the rotational axis.

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In another possible embodiment the reference layer is not the rearmost layer but rather a layer in the middle. Rotation may then be carried out into different directions for the layers located in front and behind the reference layer, possibly with different sets of Tmin and Tmax also, resulting in an image resembling a 15 "telescopic" representation of the original two-dimensional representation. This is schematically illustrated by in Fig. 1F showing a top view of a rotational image resulting from this embodiment. Therein objects 170, 171, 172 and 173 result from rotation of their corresponding two-dimensional images into a first direction indicated by arrow 175, and objects 180, 181 and 182 result from rotation of their 20 corresponding two-dimensional objects into the opposite direction as indicated by arrow 185. It can also be seen from Fig. 1F that the Tmax is larger for the rotation direction indicated by arrow 185 compared to a smaller Tmax for the rotation direction indicated by arrow 175.

25

In one embodiment, instructions to perform method 200 are stored in a memory 401 (Fig. 4A), either volatile or non-volatile or a combination of the two, and executed from memory 401 by a processor 402. For example, non-volatile memory can include, but is not limited to, any one or more of a hard disk drive, a floppy disk, magnetic tape, flash memory, CD-ROM, or any other suitable memory 30 that one programmed retains the programmed information. As used herein, programmed means storing information in the memory. Further, those of skill in the art will appreciate that while memory 401 is illustrated as one unit, in most computer

systems, memory 401 is implemented as a plurality of memory units. In more general terms, instructions to perform method 200 are stored in a computer readable medium, and when the instructions to perform method 200 are loaded from the computer readable medium into a memory of a device, the device is 5 configured to be a special purpose machine that executes method 200.

Either method 200 can call a user interface displayed on display unit 403 so that one or more of the minimum rotational angle, maximum rotational angle, maximum variable rotational angle, or the rotational thickness ratio can be input by 10 the user, or this data can be obtained via a user interface and stored for subsequent use by method 200. Input units 405 may be provided to enable data to be input by a user. The input units may e.g. be in the form of a keyboard or a mouse, but are not limited thereto.

15 The particular configuration of the processor 402, memory 401, and display unit 403 are not essential to this invention. The three-dimensional image may also be presented on display unit 403, sent to a printer, a facsimile machine, or any other display unit capable of displaying the three-dimensional image generated by method 200.

20 Also, stored in memory 401 are the various drivers and libraries 404 required for generating a three-dimensional rotational image. The particular routines and drivers used to support method 200 of this invention depend for example on the operating system, computer programming language, and processor used to 25 implement this invention, and are not essential to this invention. In view of this disclosure, those of skill in the art can implement the invention of a wide-variety of physical hardware configurations using an operating system and computer programming language of interest to the user.

30 Computer system 400, in one embodiment, can be a portable computer, a workstation, a two-way pager, a cellular telephone, a digital wireless telephone, a personal digital assistant (PDA), or any other device that includes the components

shown and that can execute method 200. Similarly, in another embodiment, computer system 400 can be comprised of multiple different computers, wireless devices, cellular telephones, digital telephones, two-way pagers, or personal digital assistants or any desired combination of these devices that are interconnected to 5 perform method 200 as described herein. See for example, Figure 4B, where computer systems 400A, 400B and 400C are schematically illustrated which are connected to and interconnected by a network 490 through network interfaces 430A, 430B and 430C, respectively. Accordingly, a computer memory refers to a volatile memory, a non-volatile memory, or a combination of the two in any one of 10 these devices. Similarly, a computer input unit and a display unit refers to the features providing the required functionality to input the information described herein, and to display the information described herein, respectively, in any one of the aforementioned or equivalent devices.

15                   In addition, memory 401 may be physically located in a location different from processor 402. The only requirement is that processor 402 is coupled to memory 401. This could be accomplished in a client-server system, or alternatively via a connection to another computer via modems and analog lines, or digital interfaces and a digital carrier line. For example, memory 401 could be in a World 20 Wide Web portal 400B (Fig. 4B), while display unit 403 and processor 402 are in personal digital assistant (PDA), or a wireless telephone 400A. Conversely, display unit 403 could be in a client computer 400C, a wireless telephone 400A, or a PDA, while memory 401 and processor 402 are part of a server computer 400B on a wide area network, a local area network, or the Internet.

25                   In view of this disclosure, method 200 can be implemented in a wide variety of computer system configurations. In addition, method 200 could be stored as different modules in memories of different devices. For example, method 200 could initially be stored in a server computer 400B, and than as necessary, a module of method 200 could be transferred to a client device 400A, or 400C and executed on the client device. Consequently, part of method 200 would be 30

executed on the server processor, and another part of method 200 would be executed on the client device.

5        In yet another embodiment illustrated in Figure 4C, method 200 is stored in memory 401B of system 400B. Method 200 is transferred, over network 490 to memory 401C in system 400C. In this embodiment, network interface 430B and 430C can be analog modems, digital modems, or a network interface card. If modems are used, network 490 is a communications network, and process 200 is said to be downloaded.

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Thus, the method of this invention can be implemented in a variety of devices, and can be used for a variety of applications by those of skill in the art in view of this disclosure. Accordingly, the embodiments described above are illustrative only and are not intended to limit the invention to the specific 15 configurations described.

02 Nov. 2000

CLAIMS

1. A method for producing a three-dimensional image from a two-dimensional image including objects represented by a plurality of polygons, the  
5 method comprising:

ordering polygons in said plurality of polygons in a sequence defined by layering of said polygons in the two-dimensional image wherein a first polygon in said sequence is a reference polygon;

10 sequentially assigning each polygon in said sequence, starting with said reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the given layer; and

15 rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal said maximum rotational angle.

20 2. The method of Claim 1 wherein the reference layer includes the reference polygon.

25 3. The method of claim 1 or 2, wherein the resulting three-dimensional representation is symmetrical with respect to a plane in which said rotational axis is located.

30 4. The method of Claim 1, 2 or 3, wherein a maximum variable rotational angle is defined as the difference between the maximum rotational angle and the minimum rotational angle, and wherein a rotation ratio is the ratio of the minimum rotational angle to the maximum variable rotational angle.

5. The method of Claim 4 wherein the rotation ratio is a range of 95 to 5.

6. The method of Claim 5 wherein the thickness ratio is in the range of 5 80 to 20.

7. The method of one of the preceding claims, wherein a scaling factor is defined as the factor by which an area of a polygon is to be increased or decreased when rotating it to form the rotational image.

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8. The method of Claim 1 further comprising:  
displaying said three-dimensional representation.

15

9. A system comprising:

a processor; and

a memory storing a method for producing a three-dimensional image from a two-dimensional image including objects represented by a plurality of polygons, the method comprising:

20 ordering polygons in said plurality of polygons in a sequence defined by layering of said polygons in the two-dimensional image wherein a first polygon in said sequence is a reference polygon;

25 sequentially assigning each polygon in said sequence, starting with said reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the given layer; and

30 rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal said maximum rotational angle.

10. The system of Claim 9 wherein said system is a client-server system.

11. The system of Claim 9 wherein said processor and said memory are in a first device, and a display unit is a part of a second device wherein second device different from said first device, and further wherein said display unit displays said three-dimensional representation.

12. A memory having stored instructions to perform a method for producing a three-dimensional image from a two-dimensional image including objects represented by a plurality of polygons stored therein, said method comprising:

15 ordering polygons in said plurality of polygons in a sequence defined by layering of said polygons in the two-dimensional image wherein a first polygon in said sequence is a reference polygon;

sequentially assigning each polygon in said sequence, starting with said reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the given layer; and

20 rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal 25 said maximum rotational angle.

13. The memory of Claim 12 wherein said method is transferred from said memory to another memory.

30 14. The memory of Claim 13 wherein said transfer comprises a download over a communications network.

15. A computer system comprising:

means for ordering polygons in said plurality of polygons in a sequence defined by layering of said polygons in the two-dimensional image wherein a first polygon in said sequence is a reference polygon;

5 means for sequentially assigning each polygon in said sequence, starting with said reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the given layer; and

10 means for rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal said maximum rotational angle.

15

16. The system of Claim 15, wherein the generated 3D representation can be rotated around a rotational axis to change the user's view onto the 3D image.

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17. The system of claim 15 or 16, wherein one or more of the variables minimum rotational angle, maximum rotational angle, maximum variable rotational angle as well as the rotational thickness ratio can be set by the user, preferably via a user interface.

25

18. A computer program comprising program code for carrying out the method of one of claims 1 to 8.

02 Nov. 2000

.A METHOD AND APPARATUS FOR  
PRODUCING A 3-D ROTATIONAL IMAGE FROM A 2-D IMAGE

ABSTRACT OF THE DISCLOSURE

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A method for producing a three-dimensional image from a two-dimensional image including objects represented by a plurality of polygons, the method comprising:

10 ordering polygons in said plurality of polygons in a sequence defined by layering of said polygons in the two-dimensional image wherein a first polygon in said sequence is a reference polygon;

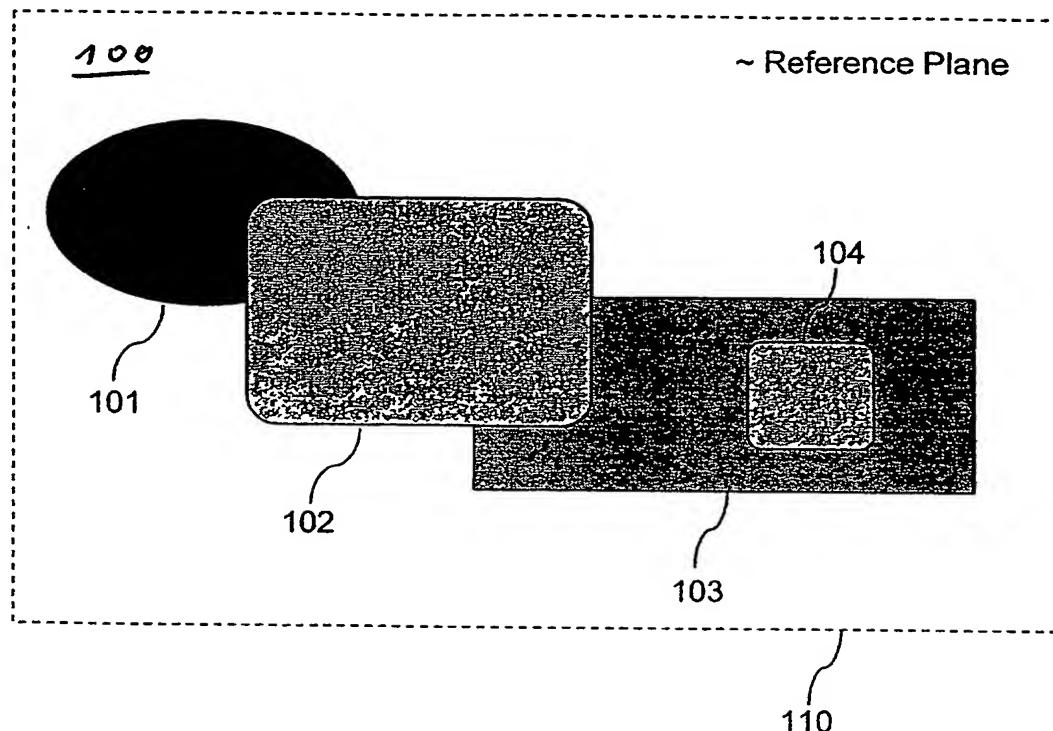
15 sequentially assigning each polygon in said sequence, starting with said reference polygon, to one of a plurality of layers so that within a given layer a polygon assigned to that layer neither (i) overlaps with another polygon in the given layer, nor (ii) is included within another polygon in the given layer; and

20 rotating the polygons of each layer around a common rotational axis to form a three-dimensional representation having a maximum rotational angle around said rotational axis with each polygon in a reference layer having a minimum rotational angle and polygons in layers other than said reference layer having a rotational angle greater than said minimum rotational angle and less than or equal said maximum rotational angle.



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**Fig. 1A**

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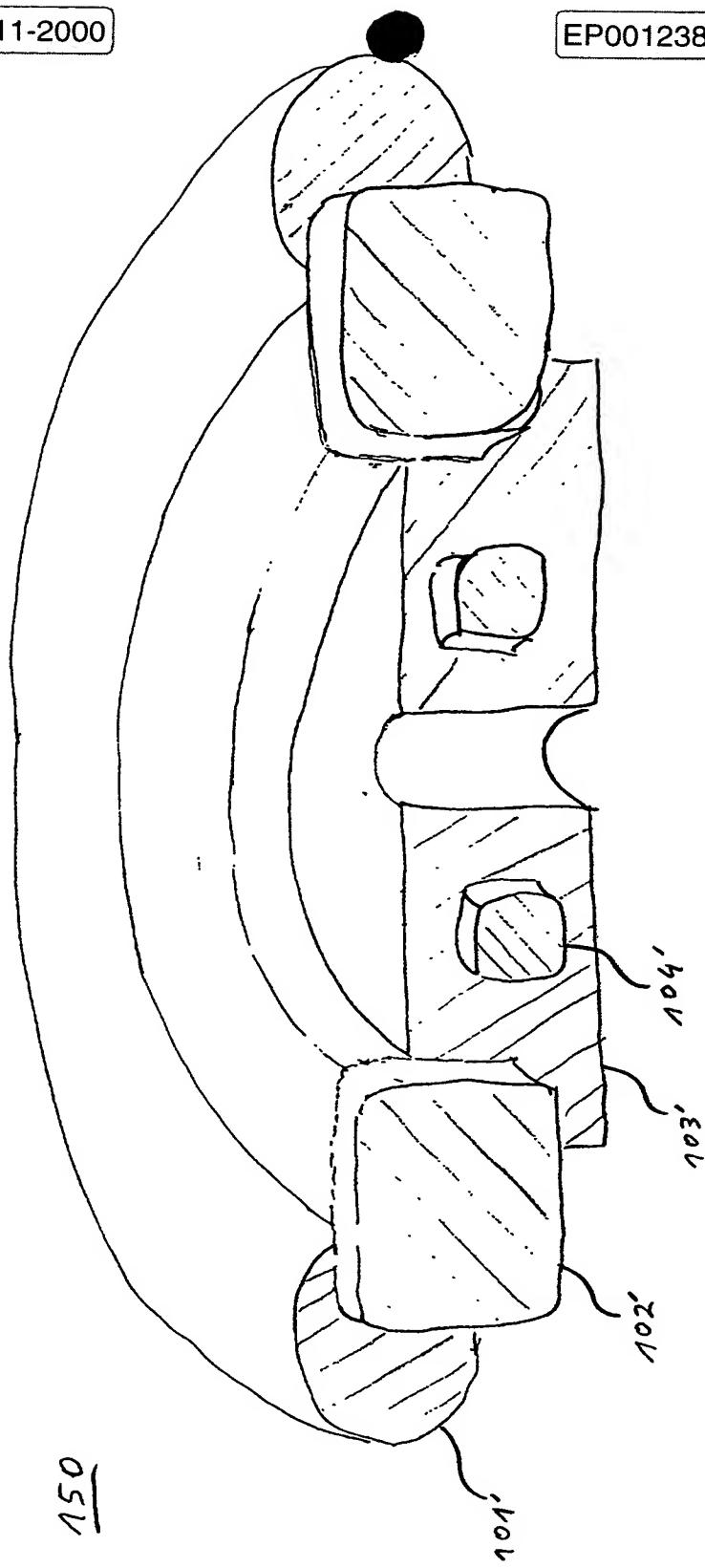
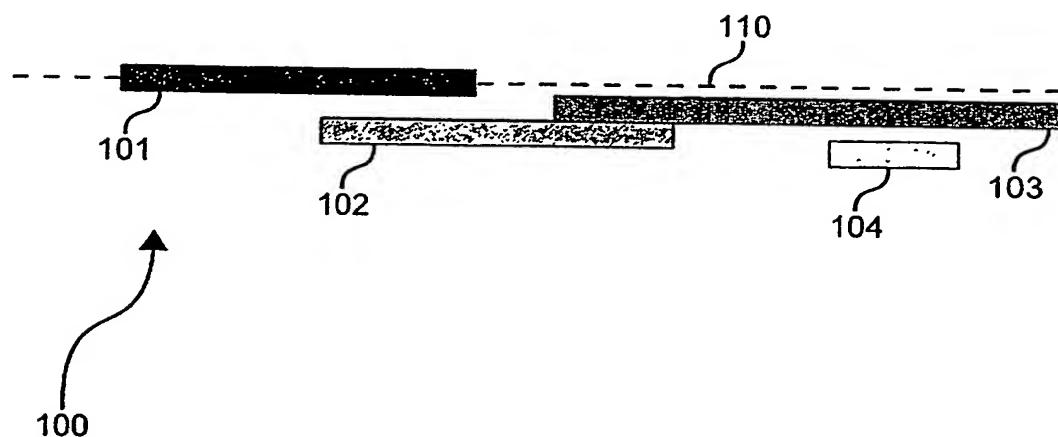


Fig. 1B

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**Fig. 1C**

P-5342 EP

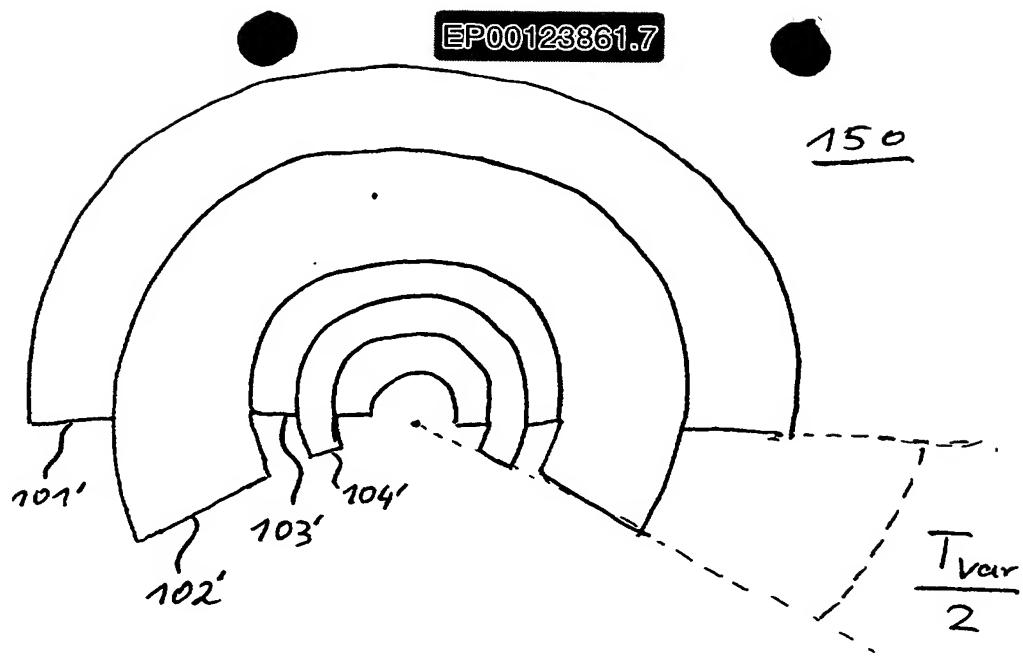


Fig. 7D

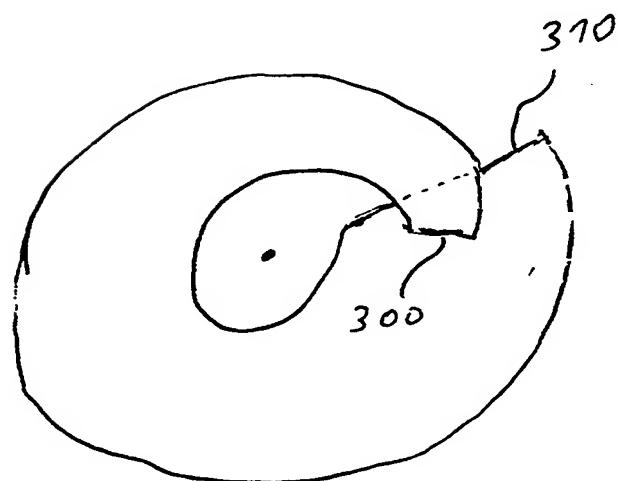


Fig. 7E

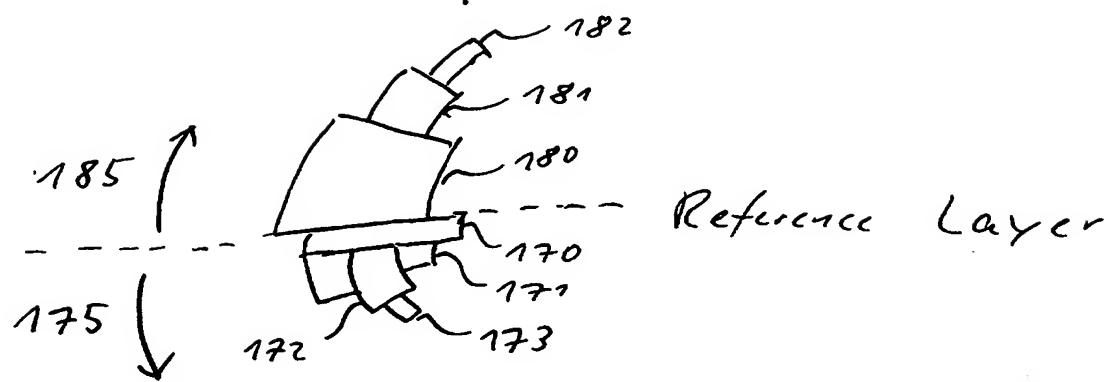


Fig. 1F

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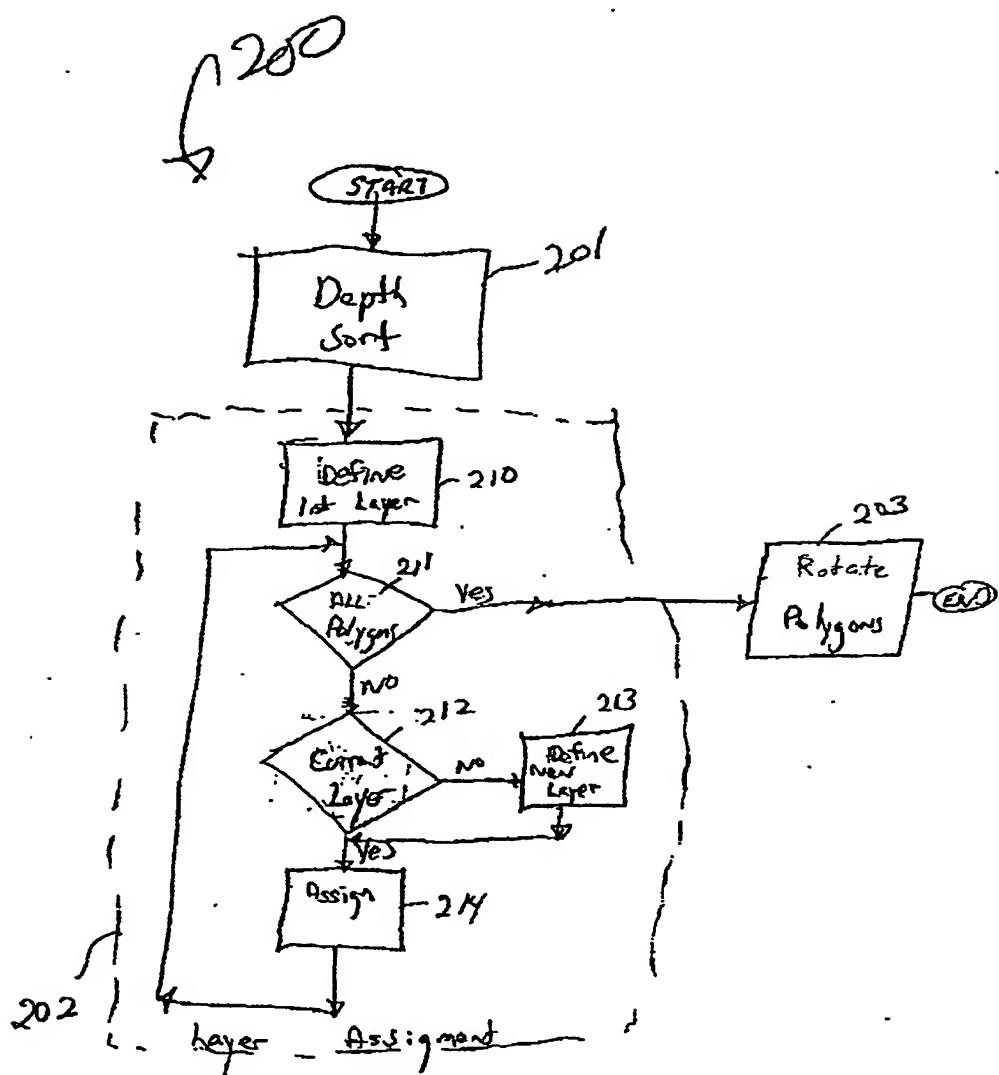


Fig. 2

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